

## DETAILS OF EQUITY HOLDINGS OF INSURERS

## PART A:

PARTICULARS OF THE SHAREHOLDING PATTERN OF THE \_\_\_\_\_ INSURANCE  
COMPANY, AS AT QUARTER ENDED \_\_\_\_\_

| Sl. No. | Category                                                                                                            | No. of shares held | % of sharehold ings | Paid up equity (Rs. in lakhs) | <i>Shares pledged or otherwise encumbered</i> |                                                 |
|---------|---------------------------------------------------------------------------------------------------------------------|--------------------|---------------------|-------------------------------|-----------------------------------------------|-------------------------------------------------|
| (I)     | (II)                                                                                                                | (III)              | (IV)                | (V)                           | <i>Number of shares(V)</i>                    | <i>As a percentage of (VI) = (V)/ (III)*100</i> |
| 1.      | Promoters*                                                                                                          |                    |                     |                               |                                               |                                                 |
| (a)     | –Indian Promoters<br>Individuals:<br>(Names of major shareholders)<br><br>Bodies Corporate:<br>(i)<br>(ii)<br>(iii) |                    |                     |                               |                                               |                                                 |
| (b)     | –Foreign Promoters<br>Individuals:<br>(Names of major shareholders)<br><br>Bodies Corporate<br>(i)<br>(ii)<br>(iii) |                    |                     |                               |                                               |                                                 |
| 2.      | Persons acting in Concert<br>(Please specify)                                                                       |                    |                     |                               |                                               |                                                 |
|         | <b>Total</b>                                                                                                        |                    |                     |                               |                                               |                                                 |

Footnote: At 1(a) and (b) above, the names of individuals and bodies corporate must be specifically and separately mentioned.

\*As defined under clause 2(g) of the regulations for registration of Indian insurance companies.

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**PART (B):****PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) AS INDICATED AT (A) ABOVE.****Name of the Indian Promoter:** \_\_\_\_\_

(Please repeat the tabulation in case of more than one Indian Promoter)

| Sl. No.   | Category                                                                                                        | No. of shares held | % of shareholdings | Paid up equity (Rs. in lakhs) | <i>Shares pledged or otherwise encumbered</i> |                                                |
|-----------|-----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|-------------------------------|-----------------------------------------------|------------------------------------------------|
|           |                                                                                                                 |                    |                    |                               | <i>Number of shares (V)</i>                   | <i>As a percentage of (VI) = (V)/(III)*100</i> |
| (I)       | (II)                                                                                                            | (III)              | (IV)               |                               |                                               |                                                |
| <b>I</b>  | <b>Promoters' holding</b>                                                                                       |                    |                    |                               |                                               |                                                |
| I(a)      | Promoters<br>–Indian Promoters<br>Individuals:<br>(Major holdings)<br>Bodies Corporate:<br>(i)<br>(ii)<br>(iii) |                    |                    |                               |                                               |                                                |
| I(b)      | –Foreign Promoters<br>Individuals:<br>(Major holdings)<br>Bodies Corporate<br>(i)<br>(ii)<br>(iii)              |                    |                    |                               |                                               |                                                |
|           | <b>Sub-Total</b>                                                                                                |                    |                    |                               |                                               |                                                |
| <b>II</b> | <b>Non Promoters' Holding</b>                                                                                   |                    |                    |                               |                                               |                                                |
| 1.        |                                                                                                                 |                    |                    |                               |                                               |                                                |
| a.        | Institutional Investors                                                                                         |                    |                    |                               |                                               |                                                |
| b.        | Mutual Funds and UTI<br>Banks, Financial<br>Institutions, Insurance<br>Companies                                |                    |                    |                               |                                               |                                                |
| c.        | (Central/State                                                                                                  |                    |                    |                               |                                               |                                                |

|     |                                          |  |  |  |  |  |
|-----|------------------------------------------|--|--|--|--|--|
| 2.  | Government/Non-                          |  |  |  |  |  |
| a.  | Government                               |  |  |  |  |  |
| b.  | Institutions)                            |  |  |  |  |  |
| c.  | FII's:#                                  |  |  |  |  |  |
| c.1 | FII belonging to<br>foreign promoter     |  |  |  |  |  |
| c.2 | FII - others                             |  |  |  |  |  |
| d.  | Others:                                  |  |  |  |  |  |
| e.  | Private Corporate                        |  |  |  |  |  |
| f.  | Bodies                                   |  |  |  |  |  |
| g.  | Indian Public                            |  |  |  |  |  |
| h.  | NRIs                                     |  |  |  |  |  |
|     | OCBs\$                                   |  |  |  |  |  |
|     | i. OCBs belonging to<br>foreign promoter |  |  |  |  |  |
|     | ii. OCBs- others                         |  |  |  |  |  |
|     | FII belonging to<br>foreign promoter     |  |  |  |  |  |
|     | FII - others                             |  |  |  |  |  |
|     | Employees                                |  |  |  |  |  |
|     | Trusts                                   |  |  |  |  |  |
|     | Directors                                |  |  |  |  |  |
|     | (independent<br>Director)                |  |  |  |  |  |
|     | NSDL TRANSIT<br>POSITION                 |  |  |  |  |  |
|     | <b>Sub-Total</b>                         |  |  |  |  |  |
|     | <b>Grand Total</b>                       |  |  |  |  |  |

**Footnotes:**

1. At I 1 (a) & (b) of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
2. Insurers are required to highlight the categories which fall within the purview of clause 11(iii) of the Regulations for registration of Indian insurance companies.

# Please specify the names of the FIIs, indicating those FIIs which belong to the Group of the Joint Venture partner of the Indian insurance company.

\$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner of the Indian insurance company.

## **CERTIFICATION**

1. Certified that the details of the equity holding of the foreign partner (and its subsidiaries and nominees) of the Indian insurance company, in the Indian promoter as provided for in clause 11 (1)(iii) of the IRDA (Registration of Indian Insurance Companies) Regulations have been indicated in Part B of the Statement.
2. Further certified that the above information is correct and complete, and reflects the true position.

**(Signature)**

**Chief Executive Officer**

**Date:**

**Place:**